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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Ross, *et al.* Examiner: N/A  
Serial No: 10/779,457 Group Art Unit: 2822  
Filed: February 13, 2004 Docket: 8728-671 (YOR9-2003-0623 US1)  
For: **METHOD OF FORMING QUANTUM DOTS AT  
PREDETERMINED POSITIONS ON A SUBSTRATE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. §1.48**

Sir:

Applicants respectfully request to correct the inventorship for the above referenced application by adding the following persons as inventors.

John C. Bean, 480 Lego Drive, Charlottesville, VA 22911

Thomas Vandervelde, 207 Sunset Avenue, Charlottesville, VA 22903

**CERTIFICATE OF MAILING 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on **September 19, 2005.**

Dated: 9/19/05

David L. Heath  
David L. Heath

09/23/2005 EAYALEW1 00000044 500510 10779457

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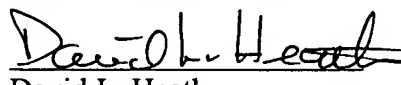
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In support of this Request, Applicants submit herewith the following statements:

- (1) statements under 37 CFR 1.48(a)(2) of no deceptive intent signed by the added inventors;
- (2) a supplemental declaration under 37 CFR 1.48(a)(3) signed by all inventors; and
- (3) a statement of consent of the assignee under 37 CFR 1.48(a)(5) and 37 CFR 3.73(b).

The processing fee for this Request as set forth in 37 CFR 1.17(i) is 130.00. Please charge the processing fee of \$130.00 to Deposit Account No. 50-0510/IBM (Yorktown Heights). **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

By:   
David L. Heath  
Reg. No. 46,763  
Attorney for Applicants

**Mailing Address:**

**F. Chau & Associates, LLC**  
**130 Woodbury Road**  
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**(516) 692-8888**  
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**STATEMENT OF NO DECEPTIVE INTENT UNDER 37 C.F.R. §1.48(a)(2)**

Sir:

This statement is being submitted by the undersigned in support of the Request to Correct Inventorship under 37 CFR 1.48 for the above referenced patent application. The error in inventorship in this application occurred without deceptive intent on my part.

Signed:

  
John C. Bean

Date:

6/28/05



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**STATEMENT OF NO DECEPTIVE INTENT UNDER 37 C.F.R. §1.48(a)(2)**

Sir:

This statement is being submitted by the undersigned in support of the Request to Correct Inventorship under 37 CFR 1.48 for the above referenced patent application. The error in inventorship in this application occurred without deceptive intent on my part.

Signed:  Date: 7/11/05  
Thomas Vandervelde



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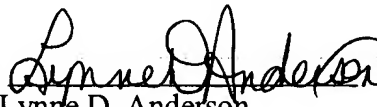
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**ASSIGNEE CONSENT STATEMENT UNDER 37 C.F.R. §1.48(a)(5)**

Sir:

This statement is being submitted by the undersigned in support of the Request to Correct Inventorship under 37 CFR 1.48 for the above referenced patent application. International Business Machines Corporation ("IBM"), a corporation of New York having a place of business at Armonk, NY 10504, is an assignee by virtue of an assignment of inventors for the above referenced patent application. Documentary evidence of the chain of title from the original owners to IBM is recorded in the assignment records of the U.S. Patent and Trademark Office on Reel 014846, Frame 0992. IBM hereby consents to this Request to Correct Inventorship. The undersigned is authorized to act on behalf of IBM to provide IBM's consent to this Request.

Signed:  Date: 3-24-05  
Lynne D. Anderson  
Program Manager  
U.S. Patent and Trademark Office Liaison  
IBM Corporation